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Docket No. CF/034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew C. Gilbert et al.
Application No.: 10/047,607 Confirmation No.: 5741
Filed : January 14, 2002
For : SYSTEMS AND METHODS FOR ELECTRONIC
TRADING THAT PERMIT PRINCIPAL/BROKER
TRADING
Group Art Unit : 2164
Examiner : Not Yet Assigned

Commissioner for Patents New York, NY 10020
P.O. Box 1450 June 25, 2003
Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Sir:

GROUP 3600

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application. The information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. Accordingly, no payment of fees is required pursuant to 37 C.F.R. § 1.97(c)(1).

However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information

Disclosure Statement to Deposit Account No. 06-1075. A
duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

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